

臺灣大學國家發展研究所 100 學年度第 1 學期博碩士班課程綱要

課程資訊				
課程名稱	(中)國家與社會專題 (英) Seminar on State and Society			
課程編號	341 U3790	班次		學分數 2
全/半年	半年		必/選修	社會組必選課程
授課教師	李碧涵教授(lbh@ntu.edu.tw)		修課人數	25
上課時間	週二 第三、四節		課程加選方式	2
上課地點	國家發展研究所		博士班核心能力	A, B, I, J
課程網頁	於開學時建置並公佈		碩士班核心能力	A, B, C, J, K
課程大綱				
課程目標	本課程將透過國家與社會之相關理論與實務的分析討論，讓研究生了解古典與當代國家與社會的相關理論與重要議題，以及政治、經濟和社會之間的實際關係，並發展出進階的學習興趣與獨立思考能力。			
課程概述	<p>此專題旨在分析國家（state）與社會（society）之相關理論與實質關係，討論範圍涵蓋先進國家與開發中國家，課程內容如下：</p> <p>（一）國家與社會之歷史發展：由歷史分析各類型之社會和資本主義的發展，古典國家理論，以及現代國家（the modern state）的出現。</p> <p>（二）當代國家與社會之關係：分析現代國家理論，國家與資本（capital）之關係，意識型態與合法性，以及邊陲地區的國家與社會。</p> <p>（三）特定重要議題分析：包括 1. 民主、公民權與福利國家，2. 當代階級結構與勞動階級，3. 新自由主義、國家與市場，4. 全球化、民族國家與全球社會正義。</p> <p>The purpose of this seminar is to examine the concrete relationships between the state and society in both advanced and developing countries.</p> <p>The seminar, first of all, investigates the historical transformation of the state and society, theories of the state in the classical Marxist, Weberian and Durkheimian traditions, and the emergence of the modern state.</p> <p>The second part of the course focuses on contemporary themes of the state and society relations, including state theories in the modern literature, state and the logics of capital, ideology and legitimacy, and state and society in the periphery.</p> <p>The third part of the seminar deals with certain special topics key to state and society relations. They are: 1. democracy, citizenship and welfare states, 2. class structure and the state, 3. neoliberalism, state and market, and 4. globalization, national states and global social justice.</p>			

關鍵字	國家與社會、國家理論、資本邏輯、邊陲國家、全球化 State and society, state theories, logics of capital, peripheral states, globalization
課程要求	課堂出席、參與課堂討論、隨堂小考、主題報告和期末報告。
Office Hours	週(四)下午 3:00-5:00 or by appointment
參考書目	<p>Andersen, Jøgen Goul and Per Jensen (eds.) (2002) Changing Labour Markets, Welfare Policies and Citizenship. Bristol: The Policy Press.</p> <p>Angresano, James (2007) French Welfare State Reform: Idealism Versus Swedish, New Zealand and Dutch Pragmatism. London and New York: Anthem Press.</p> <p>Block, Fred (1987) Revising State Theory. Philadelphia, PA: Temple University Press.</p> <p>Block, Fred (1999) Postindustrial Possibilities: A Critique of Economic Discourse. Los Angeles: University of California Press. (鄭陸霖、吳泉源等譯，2004，《後工業機會：一個批判性的經濟社會學論述》，台北：群學)</p> <p>Boyer, Robert and Daniel Drache (eds.) (1997) States against Markets: The Limits of Globalization. London and New York: Routledge.</p> <p>Brenner, Neil and Nik Theodore (eds.) (2002) Spaces of Neoliberalism. Oxford, UK: Blackwell.</p> <p>Cardoso, F.H. and E. Faletto (1979) Dependency and Development in Latin America. Berkeley and L.A., CA: University of California Press.</p> <p>Carnoy, M. (1984) The State and Political Theory. Princeton: Princeton Univ. Press. (卡諾伊 著，1995，《國家與政治理論》，台北：桂冠)</p> <p>Cavanagh, John and Jerry Mander (eds.) (2004) Alternatives to Economic Globalization: A Better World is Possible. (A Report of the International Forum on Globalization) San Francisco: Berrett-Koehler Publishing.</p> <p>Conway, Dennis and Nik Heynen (eds.) (2006) Globalization's Contradictions: Geographies of Discipline, Destruction and Transformation. London and New York: Routledge.</p> <p>DeMartino, G. F. (2000) Global Economy, Global Justice: Theoretical Objections and Policy Alternatives to Neoliberalism. London & New York: Routledge.</p> <p>Dicken, Peter and Philip Kelley, Kris Olds, and Henry Yeung (eds.) (1999) Globalization and the Asia Pacific: Contested Territories, London: Routledge.</p> <p>Esping-Andersen, Gosta, Duncan Gallie, Anton Hemerijck and John Myles (eds.) (2002) Why We Need a New Welfare State. New York: Oxford University Press.</p>

	<p>Harvey, David (2006) Spaces of Global Capitalism. London and New York: Verso.</p> <p>Held, David et al. (eds.) (1983) States and Societies. NY: New York University Press.</p> <p>Held, D. and A. McGrew (eds.) (2000) The Global Transformations Reader: An Introduction to the Globalization Debate. Cambridge: Polity Press.</p> <p>Holton, R. J. (1998) Globalization and the Nation-State. London: Macmillan Press.</p> <p>Jessop, Bob (2002) The Future of the Capitalism State. London : Polity Press. (傑索普 著，2008，《思索資本主義國家的未來》台北：韋伯文化)</p> <p>Lash, Scott and John Urry (1987) The End of Organized Capitalism. Cambridge: Polity Press</p> <p>Leitner, H., J. Peck and E. S. Sheppard (eds.) (2007) Contesting Neoliberalism: Urban Frontiers. London and New York: The Guilford Press.</p> <p>Nash, Kate (ed.) (2000) Readings in Contemporary Political Sociology, Oxford: Blackwell.</p> <p>Nee, Victor and Richard Swedberg (eds.) (2005) The Economic Sociology of Capitalism. Princeton: Princeton University Press.</p> <p>Peet, Richard (1991) Global Capitalism: Theories of Societal Development. New York: Routledge.</p> <p>Plehwe, Dieter, Bernhard Walpen and Gisela Neunhöffer (eds.) (2006) Neoliberal Hegemony: A Global Critique. London and New York: Routledge.</p> <p>Poggi, G. (1990) The State: Its Nature, Development and Prospects. Stanford: Stanford University Press.</p> <p>Rupert, Mark (2000) Ideologies of Globalization: Contending Visions of a New World Order. London and New York: Routledge.</p> <p>Sen, Amartya (1999) Development as Freedom. New York: Anchor Books. (沈恩著，2001，《經濟發展與自由》台北：先覺文化)</p> <p>Skocpol, T.(1981) States and Social Revolutions, Cambridge Univ. Press. (斯科克波 著，1998，《國家與社會革命》台北：桂冠)</p> <p>Wright, E.O. (1993) Class, Crisis and the State. London: Verso/Schocken Books.</p> <p>Yeates, Nicola (2001) Globalization and Social Policy. London: Sage.</p> <p>徐正光與蕭新煌主編，《台灣的國家與社會》，台北：東大圖書公司出版。</p>			
評量方式	No.	項目	百分比(%)	說明

	1	課堂出席、參與討論、隨堂小考和主題報告	70	
	2	期末報告	30	
每週進度				
第一週：導論—國家與社會之關係				
第二週：國家與社會之歷史轉型				
第三、四週：古典國家理論：古典馬克斯、韋伯與涂爾幹之傳統				
第五週：現代國家的出現				
第六、七週：現代國家理論之論爭：多元論、工具論、結構論與後結構論				
第八週：國家與資本邏輯				
第九週：意識型態與合法性				
第十週：邊陲地區的國家與社會				
第十一、十二週：民主、公民權與福利國家				
第十三週：當代階級結構與勞動階級				
第十四、十五週：新自由主義、國家與市場				
第十六、十七週：全球化、民族國家與全球社會正義				
週次		單元主題		
(* = Required; ** = Required for doctoral students only)				
第1週	主題：The Introduction: State and Society Relations 教材： **DeMartino, G.F. (2000) Global Economy, Global Justice: Theoretical Objections and Policy Alternatives to Neoliberalism. London & New York: Routledge. *Carnoy, M. (1984) The State and Political Theory. Princeton: Princeton Univ. Press.（卡諾伊 著，1995，《國家與政治理論》，台北：桂冠） Urry, J. (1981) The Anatomy of Capitalist Societies: The Economy, Civil Society and the State. London: The Macmillan Press. Brewer, A. (1990) Marxist Theories of Imperialism. London & New York: Routledge. Hyman, R. (2001) Understanding European Trade Unionism: Between Market, Class & Society. London: SAGE Publications.			
第2週	主題：The Historical Transformation of State and Society 教材： *Peet, Richard (1991) Global Capitalism: Theories of Societal Development. New York: Routledge.			

	<p>**Hilton, Rodney (ed.) (1976) The Transition from Feudalism to Capitalism. New Left Books.</p> <p>Dobb, Maurice (1978) Studies in the Development of Capitalism. New York: International Publishers.</p> <p>Edwards, Richard C., Reich, Michael, and Weisskopf, Thomas E. (1978) The Capitalist System. Englewood Cliffs, New Jersey: Prentice-Hall, Inc.</p> <p>Lenski, Gerhard E. (1984) Power and Privilege. Chapel Hill: The University of North Carolina Press.</p> <p>Mann, Michael (2003) The Autonomous Power of the State: Its Origins, Mechanisms and Results. In N. Brenner, B. Jessop, M. Jones and G. Macleod (eds.), State/Space: A Reader, Oxford: Blackwell Publishing, pp.53-64.</p> <p>Mann, Michael (1988) The Sources of Social Power. Vol. 1. New York: Cambridge University Press.</p>
第 3 週	<p>主題：The Classical Marxist Tradition</p> <p>教材：</p> <p>**Schwarzmantel, John (1994) The Marxist View of Power. In John Schwarzmantel, The State in Contemporary Society. New York and London: Harvester Wheatsheaf.</p> <p>*Carnoy, Martin (1984) Marx, Engels, Lenin, and the State. In M. Carnoy, The State and Political Theory. (ch. 2) Princeton: Princeton University Press.</p> <p>Held, David et al. (eds.) (1983) States and Societies. New York: New York University Press. Marx and Engels: The Communist Manifesto; Engels: The Origins of the Family, Private Property and the State; Marx: The Eighteenth Brumaire of Louis Bonaparte; Lenin: State and Revolution.</p> <p>Worsley, Peter (2002) Marx and Marxism. New York: Routledge. (2nd edition)</p>
第 4 週	<p>主題：The Weberian and Durkheimian Traditions</p> <p>教材：</p> <p>* Wright, Eric O. (1993) Bureaucracy and the State. In E. O. Wright, Classes, Crisis and the State. (ch. 4, pp. 181-225) London and New York: Verso/Schocken Books.</p> <p>Collins, Randall (1973) Political Sociology. In Reinhard Bendix et al. (eds.) State and Society: A Reader in Comparative Political Sociology.</p>

	<p>Berkeley and Los Angeles, CA: University of California Press.</p> <p>**Badie, Bertrand and Birnbaum, Pierre (1983) The Sociology of the State. Chicago: The University of Chicago Press. Durkheim: The Division of Labor, and the State; Weber: the State, and Western Rationality.</p> <p>Thompson, Kenneth (2002) Emile Durkheim. New York: Routledge. (2nd edition)</p>
第 5 週	<p>主題：Origins of the Modern State</p> <p>教材：</p> <p>*Skocpol, Theda (1981) Explaining Social Revolutions: Alternatives to Existing Theories. In T. Skocpol, States and Social Revolutions. (ch. 1) Cambridge: Cambridge University Press; Plus the same book, pp. 154-157.</p> <p>Moore, Barrington Jr. (1966) Social Origins of Dictatorship and Democracy: Lord and Peasant in the Making of the Modern World. Boston: Beacon Press.</p> <p>Skocpol, Theda (1983) States and Revolutions: France, Russia and China. In D. Held et al. (eds.) States and Societies. New York: New York University Press.</p> <p>Poggi, Gianfranco (1978) The Development of the Modern State. Stanford: Stanford University Press.</p> <p>**Poggi, Gianfranco (1990) The State: Its Nature, Development and Prospects. Stanford: Stanford University Press.</p>
第6、7週	<p>主題：The Pluralist, Instrumentalist, Structuralist and Post-Structuralist Debates</p> <p>教材：</p> <p>**Schwarzmantel, John (1994) The Pluralist View of Politics. In John Schwarzmantel, The State in Contemporary Society. New York and London: Harvester Wheatsheaf.</p> <p>**Schwarzmantel, John (1994) The Elitist Theory of Politics. In John Schwarzmantel, The State in Contemporary Society. New York and London: Harvester Wheatsheaf.</p> <p>Mills, C. Wright (1956) The Power Elite. New York: Oxford University Press.</p> <p>Dahl, Robert (1961) Who Governs? Democracy and Power in an American</p>

	<p>City. New Haven: Yale University Press.</p> <p>Domhoff, G. William (1967) Who Rules America? (pp. 1-37; 138-157). Englewood Cliffs, N.J.: Prentice-Hall.</p> <p>Domhoff, G. William (ed.) (1975) New Directions in Power Structure Research. (A Special Issue of Insurgent Sociologist, Vol. III (Spring).</p> <p>Domhoff, G. William (1986) The Issue of Class and Power in America. (chapter 1) In G. W. Domhoff, Who Rules America Now? New York: Simon and Schuster.</p> <p>Miliband, Ralph (1969) The State in Capitalist Society. New York: Basic Books.</p> <p>Poulantzas, Nicos (1969) The Problem of the Capitalist State. New Left Review #58.</p> <p>Miliband, Ralph (1973) Poulantzas and the Capitalist State. New Left Review #82.</p> <p>*Gold, David, Clarence Lo and Eric O. Wright (1975) Recent Developments in Marxist Theories of the State. Monthly Review, Oct.-Nov.</p> <p>*Block, Fred (1987) The Ruling Class Does Not Rule: Notes on the Marxist Theory of the State. In F. Block, Revising State Theory. (ch. 3) Philadelphia, PA: Temple University Press.</p> <p>**Carnoy, Martin (1984) Structuralism and the State: Althusser and Poulantzas. In M. Carnoy, The State and Political Theory. (ch. 4)</p>
第 8 週	<p>主題：The State and Logics of Capital</p> <p>教材：</p> <p>*Block, Fred (1987) Political Choice and the Multiple “Logics” of Capital. In F. Block, Revising State Theory. (ch. 9) Philadelphia, PA: Temple University Press.</p> <p>**Harvey, David (2006) Neo-liberalism and the Restoration of Class Power. In David Harvey, Spaces of Global Capitalism. London and New York: Verso.</p> <p>Carroll, William K. and Colin Carson (2006) Neoliberalism, Capitalist Class Formation and the Global Network of Corporations and Policy Groups. In Dieter Plehwe, Bernhard Walpen and Gisela Neunhöffer (eds.) Neoliberal Hegemony: A Global Critique, London and New York: Routledge, pp. 51-69.</p>

	<p>Cavanagh, John and Jerry Mander (2004) Corporate and Structure and Power. In John Cavanagh and Jerry Mander (eds.), Alternatives to Economic Globalization: A Better World Is Possible. (A Report of the International Forum on Globalization) San Francisco: Berrett-Koehler Publishing.</p> <p>Farnsworth, Kevin (2004) Globalization, Corporate Structural Power and Social Policy. In Kevin Farnsworth, Corporate Power and Social Policy in a Global Economy: British Welfare under the Influence. Bristol: The Policy Press.</p> <p>Farnsworth, Kevin (2004) The Social Policies of Corporations: Occupational Welfare and Corporate Social Responsibility. In Kevin Farnsworth, Corporate Power and Social Policy in a Global Economy: British Welfare under the Influence. Bristol: The Policy Press.</p> <p>Handley, Antoinette (2008) Business and the State in Africa: Economic Policy-Making in the Neo-Liberal Era. New York: Routledge.</p>
第9週	<p>主題：Ideology and Legitimacy</p> <p>教材：</p> <p>*Lukes, Steven (2005) Power: A Radical View. In S. Lukes, Power: A Radical View, London: Palgrave Macmillan (2nd Edition), pp. 14-59.</p> <p>**Roche, Maurice (2000) Rethinking Citizenship and Social Movements: Themes in Contemporary Sociology and Neoconservative Ideology. In Kate Nash (ed.), Readings in Contemporary Political Sociology, Oxford: Blackwell, pp. 209-237.</p> <p>Gramsci, Antonio (1983) Prison Notebooks. In David Held et al. (eds.) States and Societies. NY: New York University Press.</p> <p>Held, David (1984) Power and Legitimacy in Contemporary Britain. In Gregor McLennan, David Held and Stuart Hall (eds.), State and Society in Contemporary Britain. Cambridge: Polity Press.</p> <p>Shrestha, Nanda R. and Dennis Conway (2006) Globalization's Cultural Challenges: Homogenization, Hybridization and Heightened Identity. In Dennis Conway and Nik Heynen (eds.), Globalization's Contradictions: Geographies of Discipline, Destruction and Transformation, London and New York: Routledge, pp. 196-211.</p> <p>Howson, Richard and Kylie Smith (eds.) (2008) Hegemony: Studies in Consensus and Coercion. New York: Routledge.</p>

第 10 週

主題：The State and Society in the Periphery

教材：

****Evans, Peter (2005) The Challenges of the “Institutional Turn”: New Interdisciplinary Opportunities in Development Theory.** In Victor Nee and Richard Swedberg (eds.), *The Economic Sociology of Capitalism*. Princeton: Princeton University Press.

***Carnoy, Martin (1984) The Dependent State.** In M. Carnoy, *The State and Political Theory*. (chapter 7) Princeton: Princeton University Press.

Cardoso, Fernando H. (1973) *Associated-Dependent Development: Theoretical and Practical Implications*. In Alfred Stepan (ed.), *Authoritarian Brazil*. (pp. 142-176). New Haven: Yale University Press.

Cardoso, F.H. and E. Faletto (1979) *Dependency and Development in Latin America*. Berkeley and L.A., CA: University of California Press.

Canak, William L. (1984) *The Peripheral State Debate: State Capitalist and Bureaucratic-Authoritarian Regimes in Latin America*. *Latin American Research Review* XIX (1).

Leys, Colin (1976) *The ‘Overdeveloped’ Post Colonial State: A Re-evaluation*. *Review of African Political Economy* 5 (April).

Saul, John S (1983) *The State in Post-colonial Societies: Tanzania*. In D. Held et al. (eds.) *States and Societies*. New York: New York University Press.

李碧涵 (1994) 〈台灣地區後工業轉型之國家與社會〉，《中山學術論叢》（國立台灣大學），第十二期，頁 245-282。

李碧涵 (1996) 〈台灣企業經濟之結構調整與後工業轉型〉，《中山學術論叢》（國立台灣大學），第十四期，頁 81-121。

***李碧涵 (1996) 〈台灣的公營企業與國家資本主義之問題〉**，論文載於《台灣的國家與社會》，徐正光與蕭新煌主編，台北：東大圖書公司出版，頁 39-69。

Berger, Mark T. (2005) *The Neoliberal Ascendancy and East Asia: Geopolitics, Development Theory and the End of the Authoritarian Developmental State in South Korea*. In Dieter Plehwe, Bernhard Walpen and Gisela Neunhöffer (eds.) *Neoliberal Hegemony: A Global Critique*, London and New York: Routledge, pp. 105-119.

Peters, Enrique Dussel (2005) *The Mexican Economy Since Nafta*:

	<p>Socioeconomic Integration or Disintegration? In Dieter Plehwe, Bernhard Walpen and Gisela Neunhöffer (eds.) <i>Neoliberal Hegemony: A Global Critique</i>, London and New York: Routledge, pp. 120-138.</p> <p>Riesco, Manuel (2007) <i>Latin America: A New Developmental Welfare State Model in the Making?</i> Hampshire: Palgrave. (United Nations Research Institute For Social Development, UNRISD, social policy in a development context series)</p>
第 11、12 週	<p>主題：Democracy, Citizenship, and Welfare States</p> <p>教材：</p> <p>*Held, David (2000) Democracy, the Nation-State and the Global System. In K. Nash (ed.) <i>Readings in Contemporary Political Sociology</i>. Oxford: Blackwell, pp. 310-335.</p> <p>Haggard, Stephen (2008) <i>Development, Democracy, and Welfare States: Latin America, East Asia, and Eastern Europe</i>. Princeton: Princeton University Press.</p> <p>*Andersen, Jøgen Goul and Per Jensen (2002) <i>Citizenship, Changing Labour Markets and Welfare Policies: An Introduction</i>. In Jøgen Goul Andersen and Per Jensen (eds.) <i>Changing Labour Markets, Welfare Policies and Citizenship</i>, Bristol: The Policy Press, pp. 1-14.</p> <p>*Lister, Ruth (2002) <i>Citizenship and Changing Welfare State</i>. In Jøgen Goul Andersen and Per Jensen (eds.) <i>Changing Labour Markets, Welfare Policies and Citizenship</i>, Bristol: The Policy Press, pp. 39-58.</p> <p>Carnoy, Martin (1984) <i>The State, Democracy, and the Transition to Socialism</i>. In M. Carnoy, <i>The State and Political Theory</i>. (ch. 6) Princeton: Princeton University Press.</p> <p>Esping-Andersen, Gosta (1985) <i>The Political Causes of Social Democratic Party Decomposition</i>. In G. Esping-Andersen, <i>Politics against Markets</i>. (ch. 8) Princeton: Princeton University Press.</p> <p>*Mishra, Ramesh (1990) <i>The Welfare State After the 'Crisis'</i>. In R. Mishra, <i>The Welfare State in Capitalist Society: Policies of Retrenchment and Maintenance in Europe, North America and Australia</i>. New York: Harvester Wheatsheaf.</p> <p>Dwyer, Peter (2000) <i>Welfare Rights and Responsibilities: Contesting Social Citizenship</i>. Bristol: The Policy Press.</p>

	<p>Angresano, James (2007) The Contemporary Welfare State: The Issue of Sustainability. In James Angresano, French Welfare State Reform: Idealism Versus Swedish, New Zealand and Dutch Pragmatism. London and New York: Anthem Press, pp. 1-38.</p> <p>Svallfors, Stefan (ed.) (2007) The Political Sociology of the Welfare State: Institutions, Social Cleavages and Orientations. Stanford: Stanford University Press.</p> <p>Andersen, Jøgen Goul and Knut Halvorsen (2002) Unemployment, Welfare Policies and Citizenship: Different Paths in Western Europe. In Jøgen Goul Andersen and Per Jensen (eds.) Changing Labour Markets, Welfare Policies and Citizenship, Bristol: The Policy Press, pp. 107-128.</p> <p>Craig, Gary (2002) Ethnicity, Racism and the Labour Market: A European Perspective. In Jøgen Goul Andersen and Per Jensen (eds.) Changing Labour Markets, Welfare Policies and Citizenship, Bristol: The Policy Press, pp. 149-182.</p> <p>**Esping-Andersen, Gosta (2002) Towards the Good Society, Once Again? In Gosta Esping-Andersen, Duncan Gallie, Anton Hemerijck and John Myles (eds.) Why We Need a New Welfare State. New York: Oxford University Press.</p> <p>李碧涵，2000，〈市場、國家與制度安排：福利國家社會管制方式變遷〉，論文發表於《全球化下的社會學想像：國家、經濟與社會研討會》，台灣大學社會學系與台灣社會學社主辦，台北市：台大應力學所國際會議廳與台大社會學系館。民國八十九年一月十五日至十六日。頁 1-21。</p> <p>於：http://www.ios.sinica.edu.tw/tsawww/social_image/李碧涵.rtf</p> <p>李碧涵，2005，〈福利國家向右走：工作福利國家的創新性改革〉，論文發表於2005年台灣社會福利學會年會《社會暨健康政策的變動與創新趨勢：邁向多元、整合的福利體制》學術研討會，2005年5月6、7日。高雄：高雄醫學大學。論文收錄於《2005年台灣社會福利學會年會社會暨健康政策的變動與創新趨勢：邁向多元、整合的福利體制學術研討會論文集(一)》，頁 182-200。</p>
第 13 週	<p>主題：Contemporary Class Structure and the Labor</p> <p>教材：</p> <p>*Wright, Eric O. (1993) The Class Structure of Advanced Capitalist Societies.</p>

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