

臺灣大學國家發展研究所 104 學年度第 1 學期課程綱要

課程資訊				
課程名稱	(中) 歐盟社會政策專題 (英) Seminar on the EU Social Policy			
課程編號		班次	學分數	2
全/半年	半年		必/選修	必選
授課教師	施世駿 副教授		開課系所	國家發展研究所
上課時間	星期五下午 3:30-5:20		修課人數	15
上課地點	國發所 208		課程加選方式	3
課程大綱				
課程目標	就歷史的觀點來看，福利國家制度是民族國家的綱領計畫，但是歐盟在歐洲整合的步驟卻逐漸影響到國家層次的社會政策。因此歐洲社會政策（European Social Policy）一詞就具有雙重意義：在西歐各福利國家的社會政策以及〈直接或間接的〉歐盟社會政策。本課程藉由分析個別福利國家的制度動態，幫助學生理解國家之間的合縱連橫關係，並領略現今歐盟在各領域的影響力，以全盤掌握國際局勢動態，培養學生的國際觀察能力。			
課程概述	「歐盟社會政策專題」探究主軸為歐洲社會政策的兩個層面：會員福利國家的福利制度及歐盟超國家的治理。課程首先將論述歐盟的政治機構如何形成及其具備的能力；其次，分析歐盟社會政策如何在此框架下發展，及其對各個福利國家的影響。接下來以不同的政策領域為例，闡明不同政策領域之間的進展落差：結構基金、性別平等政策、勞資關係及老年保障。課程的第三部分將討論歐洲社會政策的未來展望做為結論，並從歐盟東擴及歐盟憲法發展的觀點，看是否有所謂的歐洲社會模式。			
關鍵字	歐盟；社會政策；福利國家			
課程要求	1.每週上課出席，全學期缺席次數不能超過3次。 3.每週主題有「基本閱讀（*標示為必讀）」及「進階閱讀」，請同學依情況選讀資料。 4.上課以討論方式進行，同學必須閱讀資料，上課參與討論。			
Office Hours	星期三早上 10:30 – 12:00			
參考書目	Esping-Andersen, G. (1990), <i>Three Worlds of Welfare Capitalism</i> . Cambridge, UK: Polity press. 古允文譯，福利資本主義的三個世界，台北：巨流圖書公司，1999。 Esping-Andersen, G. (ed.) (1996), <i>Welfare States in Transition: National Adaptations in Global Economies</i> . London: Sage Pub. Geyer, R. (2000), <i>Exploring European Social Policy</i> . Cambridge: Polity Press. Hantrais, L. (2007), <i>Social Policy in the European Union</i> . 3 rd ed. New York: Palgrave Macmillan. Hine, D. & Kassim, H. (eds.) (1998), <i>Beyond the Market: The EU and National Social Policy</i> . London: Routledge.			

	<p>Kaufmann, F.-X. (2003), <i>Varianten des Wohlfahrtsstaats: Der deutsche Sozialstaat im internationalen Vergleich</i>. Frankfurt/M: Suhrkamp. (中譯本見施世駿譯 2006：比較福利國家。巨流出版公司)。</p> <p>Kleinmann, M. (2002), <i>A European Welfare State? European Union Social Policy in Context</i>. Houndmills: Palgrave.</p> <p>Leibfried, S. & Pierson, P. (1995) (eds.), <i>European Social Policy: Between Fragmentation and Integration</i>. Washington: The Brookings Institution.</p> <p>Roberts, I. & Springer, B. (2001), <i>Social Policy in the European Union: Between Harmonization and National Autonomy</i>. Boulder/London: Lynne Rienner Publishers.</p> <p>Scharpf, F.W. (1999). <i>Governing in Europe: Effective and Democratic?</i> Oxford: Oxford University Press.</p>			
評量方式	No.	項目	百分比(%)	說明
	1	課堂討論	10%	
	2	課堂報告	30%	
	3	心得報告	30%	
	4	期末報告	30%	
週次	單元主題			
第 1 週	主題：導論：歐洲統合與福利國家			
第 2 週	<p>主題：福利國家的差異 I：福利資本主義世界</p> <p>教材：* Esping-Andersen, G. (1990), <i>The Three Worlds of Welfare Capitalism</i>. Cambridge: Polity Press. Chapter 1. (古允文譯，《福利資本主義的三個世界》。臺北：巨流圖書公司。Pp. 21-55)</p> <p>* Arts, W. and Gelissen, J. (2002), Three Worlds of Welfare Capitalism or More? <i>Journal of European Social Policy</i> 12 (2): 137-158.</p> <p>Kaufmann, F.-X. (2003), <i>Varianten des Wohlfahrtsstaats: Der deutsche Sozialstaat im internationalen Vergleich</i>. Frankfurt/M: Suhrkamp. (中譯本見施世駿譯 2006：比較福利國家。巨流出版公司)。</p> <p>Huber, E. and Stephens, J. D. (2001), <i>Development and Crisis of the Welfare State: Parties and Policies in Global Markets</i>. Chicago, IL: The University of Chicago Press.</p>			
第 3 週	<p>主題：福利國家的差異 II：對歐洲化挑戰的回應</p> <p>教材：* Ferrera, M. (2005), <i>The Boundaries of Welfare: European Integration and the New Spatial Politics of Social Protection</i>. Oxford: Oxford University Press. Chapter 4 (Pp. 111-166).</p> <p>呂建德(2001)，從福利國家到競爭式國家？全球化與福利國家的危機。《台灣社會學》，2: 263-313.</p> <p>Menz, G. (2003), Re-regulating the Single Market: National Varieties of Capitalism and Their Responses to Europeanization. <i>Journal of European Public Policy</i> 10 (4): 532-555.</p>			

	<p>Esping-Andersen, G. (1990), Institutional Accommodation to Full Employment. Three Post-Industrial Employment Trajectories. Both in G. Esping-Andersen, <i>Three Worlds of Welfare Capitalism</i>, Cambridge, UK: Polity Press, pp. 162-190; 191-220 (chapters 7 & 8). 古允文譯，福利資本主義的三個世界，第七章 充分就業的制度調適；第八章 三個後工業的就業軌道，台北：巨流圖書公司，1999。</p>
第 4 週	<p>主題：歐盟的社會政策：發展的趨勢及解釋 教材：* Leibfried, S. (2010), Social Policy: Left to the Judges and the Markets? In: H. Wallace, W. Wallace, et al. (eds), <i>Policy-Making in the European Union</i>. Oxford: Oxford University Press. (Pp. 229-281). Manow, P. et al. (2006), <i>Europe's Party-Political Center of Gravity, 1957-2002</i>. Cologne: Max-Planck Institute for Societal Studies. Falkner, G. (2003), The EU's Social Dimension. In: M. Cini (ed.), <i>European Union Politics</i>, Oxford: Oxford University Press. Pp. 264-277.</p>
第 5 週	<p>主題：歐盟的多層次體系與社會政策治理 教材：* Treib, O., Baehr, H. and Falkner, G. (2007), Modes of Governance: Towards a Conceptual Clarification. <i>Journal of European Public Policy</i> 14 (1): 1-20. * Falkner, G. (1999), European Social Policy: Towards Multi-level and Multi-actor Governance. In: Kohler-Koch, B. & Eising, R. (eds.), <i>The Transformation of Governance in the European Union</i>. London and New York: Routledge. Pp. 83-97. 黃偉峰編 (2007) ，<u>歐洲聯盟的組織與運作</u>。臺北：五南。 黃偉峰 (2003) ，剖析歐洲聯盟正在成形的治理體系，《歐美研究》33, 2：291-344。 Hix, S. (2005), <i>The Political System of the European Union</i>. Basingstoke: Palgrave Macmillan. Leibfried, S. and Pierson, P. (1995), Multitiered Institutions and the Making of Social Policy. In: Leibfried, S. & Pierson, P. (eds.), <i>European Social Policy: Between Fragmentation and Integration</i>. Washington: The Brookings Institution. Pp. 1-40 Falkner, G. and Treib, O. (2007), Bargaining and Lobbying in EU Social Policy, in: David Coen and Jeremy J. Richardson (eds.), <i>Lobbying the European Union: Institutions, Actors and Issues</i>. Oxford: Oxford University Press.</p>
第 6 週	<p>主題：“軟性法”治理：開放協調法 教材：*施世駿 (2005) ，<u>歐盟新治理模式與社會政策發展：「開放協調法」</u> 興起的歷史脈絡與政策意涵。《臺灣社會福利學刊》，四期一卷，頁 1-50。 * Borras, S. and Jacobsson, K. (2004), The Open Method of Coordination and New Governance Patterns in the EU. <i>Journal of European Public</i></p>

	<p><i>Policy 11 (2): 185-208.</i></p> <p>Jacobsson, K. (2004), Soft Regulation and the Subtle Transformation of States: The Case of EU Employment Policy. <i>Journal of European Social Policy</i> 14, 4: 355-370.</p> <p>Scharpf, F. W. (2002), The European Social Model: Coping with the Challenges of Diversity. <i>Journal of Common Market Studies</i>, 40, 4: 645-670.</p> <p>De Schutter, O. and Deakin, S. (2005), <i>Social Rights and Market Forces: Is the Open Coordination of Employment and Social Policies the Future of Social Europe?</i> Bruxelles : Bruylant.</p>
第 7 週	<p>主題：會員國執行歐盟的規定：順從還是違背？</p> <p>教材：* Mastenbroek, E. (2005), EU Compliance: Still a „Black Hole“? <i>Journal of European Public Policy</i> 12 (6): 1103-1120.</p> <p>* Toshkov, D. (2007), Transposition of EU Social Policy in the New Member States. <i>Journal of European Social Policy</i> 17 (4): 335-348.</p> <p>Versluis, E. (2007), Even Rules, Uneven Practice: Opening the “Black Box” of EU Law in Practice. <i>West European Politics</i> 30 (1): 50-67.</p> <p>Steunenberg, B. (2007), A Policy Solution to the European Union’s Transposition Puzzle: Interaction of Interests in Different Domestic Arenas. <i>West European Politics</i> 30 (1): 23-49.</p> <p>Falkner, G. et al. (2005), <i>Complying with Europe</i>. Cambridge: Cambridge University Press.</p>
第 8 週	<p>主題：政策領域 I：勞資關係的管理</p> <p>教材：* Goetschy, J. (2005), The European Social Dialogue in the 1990s: Institutional Innovations and New Paradigms. <i>Transfer</i> 11 (3): 409-422.</p> <p>De Vos, M. (2003), <i>A Decade Beyond Maastricht: The European Social Dialogue Revisited</i>. The Hague: Kluwer Law International, pp. 3-32. (Chap. 1)</p> <p>Falkner, G. (1998), <i>EU Social Policy in the 1990s: Towards a Corporatist Policy Community</i>. London: Routledge.</p> <p>Huemer, G., Mesch, M. & Traxler, F. (eds.) (1999), <i>The Role of Employer Associations and Labour Unions in the EMU: Institutional Requirements for European Economic Policies</i>. Aldershot: Ashgate.</p>
第 9 週	<p>期中考週</p>
第 10 週	<p>主題：政策領域 II：歐盟的結構基金發展</p> <p>教材：* Wallace, H. et al. (2005), <i>Policy-making in the European Union</i>. Oxford: Oxford University Press. Chap. 9 (Pp. 213-241).</p> <p>Andersen, J. J. (1995), Structural Funds and the Social Dimension of EU Policy: Springboard or Stumbling Block. In: S. Leibfried and P. Pierson (eds.), <i>European Social Policy</i>. Washington DC: The Brookings Institution. Pp. 123-158.</p>

	<p>Kemmerling, A. (2006), Partisan Politics in Regional Redistribution: Do Parties Affect the Distribution of EU Structural Funds across Regions? <i>European Union Politics</i> 7 (3): 373-392.</p>
第 11 週	<p>主題：政策領域 III：歐盟的性別平等政策 教材：* Lewis, J. (2006), Work/Family Reconciliation, Equal Opportunities and Social Policies: the Interpretation of Policy Trajectories at the EU Level and the Meaning of Gender Equality. <i>Journal of European Public Policy</i> 13 (3): 420-438. * O'Connor, J. S. (2005), Employment-Anchored Social Policy, Gender Equality and the Open Method of Policy Coordination in the European Union. <i>European Societies</i> 7 (1): 27-52. Ostner, I. and Lewis, J. (1995), Gender and the Evolution of European Social Policies. In: S. Leibfried and P. Pierson (eds.), <i>European Social Policy</i>. Washington DC: The Brookings Institution. Pp. 159-193. Stratigaki, M. (2005), Gender Mainstreaming vs. Positive Action: An Ongoing Conflict in EU Gender Equality Policy. <i>European Journal of Women's Studies</i> 12 (2): 165-187. 李孟玟 (1998)，論歐洲共同體條約之男女同工同酬原則。沈玄池、洪德。 欽主編，〈歐洲聯盟：理論與政策〉。台北：中研院歐美研究所。頁 301-333。</p>
第 12 週	<p>主題：政策領域 IV：歐盟的老年保障政策 教材：* Eckardt, M. (2005), The Open Method of Coordination on Pensions: An Economic Analysis of Its Effects on Pension Reforms. <i>Journal of European Social Policy</i> 15 (3): 247-267. * de la Porte, C. & Nanz, P. (2004), The OMC – a Deliberative-Democratic Mode of Governance? The Cases of Employment and Pensions. <i>Journal of European Public Policy</i>, 11, 2:267-288. Pochet, P. (2003), Pensions: The European Debate. In: Clark, G. L. and Whiteside, N. (eds.), <i>Pension Security in the 21st Century</i>. Oxford: Oxford University Press. Pp. 44-63.</p>
第 13 週	<p>主題：歐盟社會政策的展望 I：凝聚成為一個「歐洲社會模式」？ 教材：* Hooghe, L. & Marks, G. (1999), The Making of a Polity. The Struggle over European Integration. In Kitschelt, H., Lange, P., Marks, G. & Stephens, J. (eds.), <i>Continuity and Change in Contemporary Capitalism</i>. Cambridge: Cambridge University Press. Pp. 70-100. * Jepsen, M. and Pascual, A. S. (2005), The European Social Model: An Exercise in Deconstruction. <i>Journal of European Social Policy</i> 15 (3): 231-245. Lessenich, S. (2005), "Frozen Landscapes" Revisited: Path Creation in the European Social Model. <i>Social Policy & Society</i> 4 (4): 345-356.</p>

	<p>Streeck, W. (2000), Competitive Solidarity: Rethinking the European Social Model. In Hinrichs, K., Kitschelt, H. & Wiesenthal, H. (eds.), <i>Kontingenz und Krise: Institutionenpolitik in kapitalistischen und postsozialistischen Gesellschaften</i>. Frankfurt/New York: Campus Verlag. Pp. 245-261.</p>
第 14 週	<p>主題：歐盟社會政策的展望 II：歐盟憲法 教材：*洪德欽（2007），歐盟憲法之法理分析。《歐美研究》，37 (2)：255-321。</p> <p>* Korda, M. (2006), The EU Constitution: What Went Wrong for Social Protection? <i>European Journal of Social Security</i>, 8 (1): 7-34.</p> <p>Closa, C. (2005), Deliberative Constitutional Politics and the Turn Towards a Norms-Based Legitimacy of the EU Constitution. <i>European Law Journal</i> 11 (4): 411-431.</p>
第 15 週	<p>主題：歐盟社會政策的展望 III：歐盟東擴 教材：* Sedelmeier, U. (2005), Eastern Enlargement: Towards a European EU? In: H. Wallace, W. Wallace and M. A. Pollack (eds), <i>Policy-Making in the European Union</i>. Oxford: Oxford University Press. (Pp. 401-428).</p> <p>* Lendvai, N. (2004), The Weakest Link? EU Accession and Enlargement: Dialoguing EU and Post-communist Social Policy. <i>Journal of European Social Policy</i> 14 (3): 319-334.</p> <p>Kvist, J. (2004), Does EU Enlargement Start a Race to the Bottom? Strategic Interaction Among EU Member States in Social Policy. <i>Journal of European Social Policy</i> 14 (3): 319-334.</p> <p>Wallace, H. (2005), Can a Reformed European Union Bear the Weight of Enlargement? In: Verdun, A. and Croci, O. (eds.), <i>The European Union in the Wake of Eastern Enlargement</i>. Manchester: Manchester University Press. Pp. 23-34.</p>
第 16 週	<p>主題：里斯本條約與歐盟社會政策 教材：Annesley, C. (2007), Lisbon and Social Europe: Towards a European 'Adult Worker Model' Welfare System. <i>Journal of European Social Policy</i> 17 (3): 195-205.</p>
第 17 週	<p>主題：總結討論 教材：* Ferrera, M. (2005), <i>The Boundaries of Welfare: European Integration and the New Spatial Politics of Social Protection</i>. Oxford: Oxford University Press. Chapter 6 (Pp. 205-253).</p>
第 18 週	<p>主題：期末報告</p>