

比較政治專題研究
授課教師：李鳳玉、王鼎銘

一、課程介紹：

本課程有三個目的。第一個目的在於讓同學熟悉比較政治的研究方法、途徑以及重要議題。研究方法包含量化與質化法及其爭辯。研究途徑則著重在理性選擇以及制度主義途徑。重要議題則包括政治制度的成因與後果、族群政治、國家的經濟角色以及民主化等研究課題。

另一個目的在培養同學的分析能力。同學在閱讀每篇作品時，要掌握以下重點：文章的時空背景、理論與假設以及研究設計（包含變項界定、操作化定義以及統計模型等等）。同學應注意幾篇文章之間的異同與辯論，思考自己的看法，並於課堂討論時，踴躍參與。

最後的目的則是希望透過選讀博士班資格考的書單，幫助同學奠定準備資格考的基礎。

二、課程要求：

這門課程將由王鼎銘及李鳳玉老師開授。前十二週的課程由李鳳玉老師負責。課程要求為：

- (1) 閱讀每週指定的教材，並且出席以及參與課堂討論（10%）。
- (2) 從第二週至第十二週（中間有一週放假），每週由同學報告選定的討論主題，**負責同學必須於上課前一天晚上六點以前，將報告傳給其他同學以及老師（逾時以零分計）。**每名同學必須報告至少兩次（20%）。同學寫報告時，除了摘要文章內容，可試著針對文章的時空背景、前提與假設、因果機制、變項界定與研究設計等部分，加以評論。
- (3) 從第十三週起，每週須繳交當週教材的閱讀心得（35%）。
- (4) 撰寫期末報告（30%）。同學可在本課程包含的研究議題中，擇一主題撰寫研究報告。學期中，老師將安排半堂課至一堂課的時間，讓同學報告研究主題以及研究設計(5%)。

三、課程進度：

請注意，打*的文章為建議閱讀教材。

第一週 (2/22)：課程介紹

第二週 (2/29)：導論與範圍

- Apter, David. 1996. "Comparative Politics: Old and New." In Robert E. Goodin and Hans-Dieter Klingemann (eds.), *A New Handbook of Political Science*. Oxford: Oxford University Press. pp. 372-397.
- Laitin, David. 2002. "Comparative Politics: The State of the Subdiscipline." In Ira Katznelson and Helen Milner (eds.), *Political Science: The State of the Discipline III*. New York: W. W. Norton & Company. pp. 630-659.
- Mair, Peter. 1996. "Comparative Politics: An Overview." In Robert E. Goodin and Hans-Dieter Klingemann (eds.), *A New Handbook of Political Science*. Oxford: Oxford University Press. pp. 309-335.

*Lichbach, Mark and Alan Zuckerman, eds. 1997. *Comparative Politics*. Cambridge.

第三週 (3/7)：研究方法

- King, Gary, Robert Keohane, and Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton: Princeton University Press. Chapter 3-4 (pp. 75-149).

*Laitin, Caporaso, Collier, Rogowski, Tarrow, and response by King, Keohane, and Verba. 1995. "Review Symposium: The Qualitative-Quantitative Disputation: King, Keohane and Verba's 'Designing Social Inquiry'" *American Political Science Review* 89: 454-481.

*Collier, David and Henry Brady. 2004. *Rethinking Social Inquiry: Diverse Tools, Shared Standards*.

第四週 (3/14)：研究途徑

- Weingast, Barry. 2002. "Rational-Choice Institutionalism." In Ira Katznelson and Helen Milner (eds.), *Political Science: The State of the Discipline*. New York: W. W. Norton & Company. pp. 660-692.
- Pierson, Paul and Theda Skocpol. 2002. "Historical Institutionalism in Contemporary Political Science." In Ira Katznelson and Helen Milner (eds.), *Political Science: The State of the Discipline*. New York: W. W. Norton & Company. pp. 693-721.
- Pierson, Paul. 2000. "Increasing Returns, Path Dependency, and the Study of Politics." *American Political Science Review*, 94: 251-267.

*Tsebelis, George. 1990. *Nested Games: Rational Choice in Comparative Politics*. Berkeley: University of California Press, 1990. pp. 1-51. (資格考書單)

* Shepsle, Kenneth A. 1989. "Studying Institutions: Some Lessons from the Rational

- Choice Approach.” *Journal of Theoretical Politics*, 1(2): 131-147.
- * Fiorina, Morris. 1995. “Rational Choice and the New Institutionalism,” *Polity*, 28 (1): 107-115.
 - * Levi, Margaret. et. al. 1990. “Introduction: The Limits of Rationality,” in Karen Schweers Cook and Margaret Levi (eds.), *The Limits of Rationality*. Chicago: University of Chicago Press. pp. 1-16.
 - * Green, Donald and Ian Shapiro. 1994. *Pathologies of Rational Choice Theory: A critique of applications in political science*. New Haven: Yale. (資格考書單)
 - * Levi, Margaret. 1997. “A Model, a Method, and a Map: Rational Choice in Comparative and Historical Analysis,” in Mark Irving Lichbach and Alan S. Zuckerman (eds.), *Comparative Politics: Rationality, Culture, and Structure*. New York: Cambridge University Press. pp. 19-41.
 - * Elster, Jon. 2000. “Rational Choice History.” *American Political Science Review*, 94: 685-695.
 - * Pierson, Paul. 2004. *Politics in Time: History, Institutions, and Social Analysis*. Cambridge: Cambridge University Press.
 - * Thelen, Kathleen and Sven Steinmo. eds. 1992. *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge: Cambridge University Press. Chs. 1, 7, and 8.
 - * Thelen, Kathleen. 1999. “Historical Institutionalism in Comparative Politics.” *Annual Review of Political Science*, 2: 369-404.
 - * Skocpol, Theda. 1985. “Bringing the State Back In: Strategies of Analysis in Current Research.” in P. Evans, D. Rueschemeyer, and T. Skocpol (eds.), *Bringing the State Back In*. Cambridge: Cambridge University Press. pp. 3-37.

第五週 (3/21)：民主化

Dahl, Robert. 1971. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press. Chs. 1-5 (pp. 1-80). (資格考書單)

##由於有中文翻譯本，同學可嘗試整本唸完##

- * Schmitter, Philippe C. and Terry Lynn Karl. 1996. “What Democracy Is...And Is Not.” In Larry Diamond and Marc F. Plattner (eds.), *The Global Resurgence of Democracy*. Baltimore : Johns Hopkins University Press. pp. 49-62.
- * Collier, David and Steven Levitsky. 1997. “Democracy with Adjectives: Conceptual Innovation in Comparative Research.” *World Politics*, 49: 430-451.
- * Schedler, A. 1998. “What is Democratic Consolidation?” *Journal of Democracy (JOD)*, 9: 91-107.
- * O'Donnell, G. “Delegative Democracy.” in Larry Diamond and Marc F. Plattner

- (eds.), *The Global Resurgence of Democracy*. pp. 94-108.
- * Diamond, L. 2002. "Thinking about Hybrid Regimes." *JOD*, April: 23-35.
 - * Schedler, A. 2002. "The Menu of Manipulation." *JOD*, April: 36-50.
 - * Levitsky, S. and L. Way. 2002. "The Rise of Competitive Authoritarianism." *JOD*, April: 51-65.
 - * Zakaria, F. 1997. "The Rise of Illiberal Democracy." *Foreign Affairs*, pp. 22-43.

第六週 (4/11)：選舉

- Cox, Gary. 1997. *Making Votes Count*. Cambridge: Cambridge University Press. Chs. 1 and 2 (pp. 3-33).
- Carles Boix .1999. "Setting the Rules of the Game: The Choice of Electoral Systems in Advanced Democracies." *American Political Science Review*, 93 (3): 609-624.
- Cusack, Thomas, Torben Iversen, and David Soskice. 2007. "Economic Interests and the Origins of Electoral Systems." *American Political Science Review* 101 (3): 373-91.
- *Downs, Anthony. 1957. *An Economic Theory of Democracy*. New York: Harper and Row. Chs. 7, 8 (pp. 96-141).##如果時間許可，老師課堂會討論##
 - *Iversen, Torben. 1994. "Political Leadership and Representation in European Democracies: A Test of Three Models of Voting." *American Journal of Political Science* 38 (1): 45-74.
 - * Satori, Giovanni. 1997. *Comparative Constitutional Engineering*. New York: New York University Press. Chs. 1-4.
 - *Lijphart, Arend. 1999. *Patterns of Democracy*, New Haven: Yale University Press. Ch 8. (有中文本).
 - *Cox, Gary. 1999. "Electoral Rules and Electoral Coordination." *Annual Review of Political Science* 2:145-161.
 - *Powell, Bingham. 2000. *Elections as Instruments of Democracy: Majoritarian and Proportional Visions*. New Have: Yale University Press. Chs. 1-2.

第七週 (4/4)：清明節放假

第八週 (3/28)：政府體制

- Shugart and Carey. 1992. *Presidents and Assemblies*. Cambridge: Cambridge University Press. Chs. 2-3 (pp. 18-54). (資格考書單)
- Cheibub, José Antonio. 2002. "Minority Governments, Deadlock Situations, and the Survival of Presidential Democracies." *Comparative Political Studies* 35 (April): 284-312.

- *Linz, Juan. 1994. "Presidential or Parliamentary Democracy: Does It Make a Difference?" In Juan Linz and Arturo Valenzuela (eds.), *The Failure of Presidential Democracy: Comparative Perspectives, Volume I*. Baltimore: The Johns Hopkins Press. Ch. 1 (pp. 3-90).##老師課堂會討論##
- *Weaver, R. Kent and Bert A. Rockman. eds. 1993. *Do Institutions Matter? Government Capabilities in the United States and Abroad*. Washington, D.C.: The Brookings Institution.
- *Mainwaring, Scott and Shugart, Mathew. 1997. *Presidentialism and Democracy in Latin America*. Cambridge: Cambridge University Press.
- *Satori, Giovanni. 1997. *Comparative Constitutional Engineering*. New York: New York University Press. Chs. 5-7 (有中文本).
- *Samuels, David J., and Kent Eaton. 2002. "Presidentialism And, Or, and Versus Parliamentarism: The State of the Literature and an Agenda for Future Research." Paper presented at the Conference on Consequences of Political Institutions in Democracy, Duke University.
- *Samuels, David J. 2004. "Presidentialism and Accountability for the Economy in Comparative Perspective." *American Political Science Review* 98(3): 425-36.
- *Samuels, David J. *Presidents, Prime Ministers and Parties: How the Separation of Powers Affects Party Organization and Behavior around the World* (with Matthew Shugart, UCSD). In preparation, under contract with Cambridge University Press.

第九週 (4/18)：政黨

- Lijphart, Arend. 1994. *Electoral Systems and Party Systems*. Oxford: Oxford University Press. Chs. 5-6 (pp. 95-138).
- Mainwaring, Scott 1999. *Rethinking Party Systems Theory in the Third Wave of Democratization: the case of Brazil*. Stanford. Ch. 2.
- *Mair, Peter, eds. 1990. *The West European Party System*. New York: Oxford University Press. Ch. 9 (pp. 91-138).
- *Dahl, Robert, ed. 1966. *Political Oppositions in Western Democracies*. New Heaven: Yale University Press. Chs. 11-12.
- *Aldrich, John. 1995. *Why Parties? The origin and transformation of Political Parties in America*. Chicago: The University of Chicago Press. Part I.
- *Gallagher, Michael , Michael Laver, and Peter Mair. eds. 2001. *Representative Government in Modern Europe*. Chs. 8-11.

第十週 (4/25)：族群與民族主義

Anderson, Benedict. 2006. *Imagined Communities* (3th edition). New York: Verso.

Chs. 1, 3 (pp. 1-8; 37-46). (資格考書單)

Feng-yu Lee, *Political Institutions, Contexts, and Ethnic Conflict in Comparative Perspectives* (Dissertation Thesis, University of Texas at Austin, 2007), Sec. 4-4.2.

*Gellner, Ernest. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press.
(資格考書單).

第十一週 (5/2)：族群衝突

Feng-yu Lee, *Political Institutions, Contexts, and Ethnic Conflict in Comparative Perspectives* (Dissertation Thesis, University of Texas at Austin, 2007), Sec. 2-2.6; 3-3.5.

Lijphart, Arend. 2002. "The Wave of Power-Sharing Democracy." In Andrew Reynolds (ed.), *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*. New York: Oxford University Press. pp. 37-54.

Horowitz, Donald. 2002. "Constitutional Design: Proposals Versus Processes." In Andrew Reynolds (ed.), *The Architecture of Democracy: Constitutional Design, Conflict Management, and Democracy*. New York: Oxford University Press. pp. 15-36.

*Horowitz, Donald. 1985. *Ethnic Groups in Conflict*. Berkeley: University of California Press.

*Horowitz, Donald. 1991. *A Democratic South Africa? Constitutional Engineering in a Divided Society*. Berkeley: University of California Press.

*Toft, Monica Duffy. 2003. *The Geography of Ethnic Violence*. Princeton, N.J. : Princeton University Press.

*Birnie, Johanna Kristin. 2007. *Ethnicity and Electoral Politics*. Cambridge: Cambridge University Press.

第十二週 (5/9)：國家的經濟角色

Katzenstein, Peter J. 1985. *Small States in World Markets: Industrial Policy in Europe*. Ithaca, N.Y.: Cornell University Press. Ch. 1 (pp. 17-38). (資格考書單)

Haggard, Stephen. 1990. *Pathways from the Periphery: The Politics of Growth in the Newly Industrializing Countries*. Ithaca, N.Y.: Cornell University Press. Chs. 1 and 10 (pp. 9-22; 254-270).

Evans, Peter. 1995. *Embedded Autonomy: State and Industrial Transformation*.

Princeton: Princeton University. Ch. 3 (pp. 43-73). (資格考書單)

第十三週 (5/16) : 資格考書單選讀一

Huntington, Samuel. 1968. Political Order in Changing Societies. Yale University Press.

第十四週 (5/23) : 資格考書單選讀二

Schumpeter, Joseph A. 1975. Capitalism, Socialism, and Democracy. Harper & Row.

第十五週 (5/30) : 資格考書單選讀三

Kitschelt, Herbert. 1992. "Political Regime Change: Structure and Process Driven Explanations?" American Political Science Review 86:4 (December), pp. 1028-1034.

Laitin, David. 1995. The Civic Culture at 30. American Political Science Review. 89, 1 (March): 168-73.

Przeworski, Adam and Fernando Limongi. 1997. "Modernization: Theories and Facts: World Politics 49, (January): 155-83.

第十六週 (6/6) : 資格考書單選讀四

North, Douglass C. 1990. Institutions, Institutional Change and Economic Performance. Cambridge University Press.