## 金融法規 課程大綱台大財務金融研究所 723U2700

副教授 陳木在

- 一、 概論
- 二、 金融法與命令
- 三、 立法程序之理論與實際
- 四、 金融制度、金融自由化、金融監督管理與金融法規
- 五、 金融監督管理與金融機構經營管理之哲學、策略與政策
- 六、 金融犯罪之防治法制:金融刑罰、洗錢防制法
- 七、 行政院金融監督管理委員會組織法與金融控股公司法
- 八、 主要國家金融管理法制:金融服務業法之制定
- 九、 中央銀行法與銀行法
- 十、 農業金融法與信用合作社法
- 十一、 信託法與信託業法
- 十二、 外匯管理法規 十三、 其它金融法規

## SYLLABUS of Financial Regulations, 723U2700

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Graduate Institute of Finance, National Taiwan University

- 1. Introduction
- 2. Financial Laws and Rules
- 3. Theory versus Practice in terms of Legislation Process
- 4. Financial System, Financial Liberalization, Financial Supervision and Financial Regulations
- 5. Philosophies, Strategies and Policies Derived from Financial Regulation and Management of Financial Institutions
- 6. Prevention Regulations against Financial Crimes : Financial Punishments and Law of Money Laundery Prevention
- 7. The Organization Act of Financial Supervisory Commission, Executive Yuan and Law Governing Financial Holding Company
- Financial Regulations of Major Countries : Financial Services & Markets Acts
- Central Bank of China Act & the Banking Act of the Republic of China
- Agricultural Banking Act & Credit Cooperative Act of the Republic of China
- 11. Trust Law & Business Trust Act
- 12. Laws and Regulations Governing Foreign Exchange Control
- 13. Other Financial Laws and Regulations